

In re Application of: Katsumi Yamashita

Serial No.: 09/451,979

Filed: November 30, 1999

For: FERROELECTRIC MEMORY AND METHOD FOR MANUFACTURING SAME

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

FEB 9 2000

Transmitted herewith is a Preliminary Amendment in the above-identified application.

Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE
TOTAL	14	MINUS	20	= 0	ADDL. FEE
INDEP.	2	MINUS	3	=	x 18= \$0
					x 78= \$0
					x 260= \$0
				TOTAL	TOTAL

 FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

Please charge my Deposit Account No. 08-2461 in the amount of \$ _____. A duplicate copy of this sheet is attached.

A check in the amount of \$ 890.00 is attached. This check covers the fees for: 1) Application filing fee (\$760.00) and surcharge fee for filing a late Declaration (\$130.00).

The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is attached.

Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.

Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

HOFFMANN & BARON, LLP
ATTORNEYS AT LAW*Donald T. Baclaw*

20231 on

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:
Assistant Commissioner for Patents, Washington, D.C.

February 4, 2000

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Dated: February 4, 2000
Susan L. Toledo



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Katsumi Sameshima

Examiner: Unassigned

Serial No.: 09/451,979

Group Art Unit: 1753

Filed: November 30, 1999

Docket: 362-39

For: FERROELECTRIC MEMORY
AND METHOD FOR
MANUFACTURING SAME

Dated: February 4, 2000

Assistant Commissioner for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Prior to the examination of this application, please amend the application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 1 thereof, delete "or 2".

Claim 4, line 1 thereof, change "any of claims 1 to 3" to --claim 1--.

Claim 5, line 1 thereof, change "any of claims 1 to 4" to --claim 1--.

Claim 8, line 1 thereof, delete "or 7".

Claim 9, line 1 thereof, delete "or 7".

Claim 12, line 1 thereof, change "any of claims 6 to 11" to --claim 6--.

Claim 13, line 1 thereof, change "any of claims 6 to 12" to --claim 6--.

Claim 14, line 1 thereof, change "any of claims 6 to 12" to --claim 6--.

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20231 on February 4, 2000

Dated: 2/4/00

U.S. Patent and Trademark Office